



2122  
PATENT  
STL920000094US1  
0055.0039

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Examiner: Not Yet Assigned
W.F. Miller et al.	)	
Serial No.: 09/894,074	)	
Filed: June 28, 2001	)	Art Unit: 2122
For: METHOD, SYSTEM, AND	)	
PROGRAM FOR GENERATING	)	
A WORKFLOW	)	

RECEIVED

JAN 08 2002

Group 2100

Assistant Commissioner of Patents  
Washington, D.C. 20231

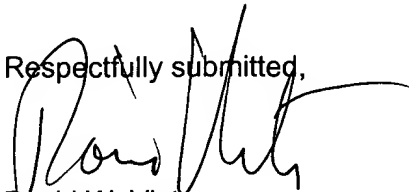
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application. Applicants submit that no fee is needed nor should be charged with the submittal of this Information Disclosure Statement (IDS) because this IDS is being submitted under 1.97(b) in that the IDS is being filed before the mailing date of a first Office Action on the merits or within three months of the filing date of a national application other than a continued prosecution application under 1.53(d). Applicants submit that a first Office Action has not been received in the above referenced case.

Nonetheless, should any fee be deemed necessary, please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,

  
David W. Victor

Dated: December/3, 2001

Reg. No. 39,867

KONRAD RAYNES VICTOR & MANN, LLP

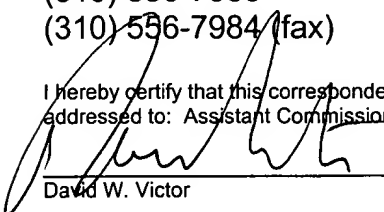
315 S. Beverly Drive, Suite 210

Beverly Hills, CA 90212

(310) 556-7983

(310) 556-7984 (fax)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on December/3, 2001.

  
David W. Victor

12/13/01  
(Date)

FORM PTO-1449

# INFORMATION DISCLOSURE CITATION P E

## IN AN APPLICATION

(Use several sheets if necessary)

JAN 03 2002

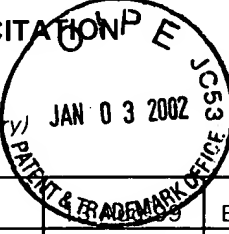
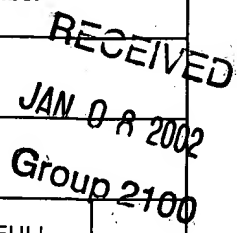
Docket Number  
STL920000094US1Application Number  
09/894,074Applicant  
WF Miller et al.Filing Date  
June 28, 2001Group Art Unit  
2152

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROP.
	5	3	0	1	3	2	0	04/05/94	McAtee et al.			
	5	5	3	5	3	2	2	07/09/96	Hecht			RECEIVED
	5	5	7	2	6	7	3	11/05/96	Shurts			JAN 08 2002
	5	5	9	6	7	4	4	01/21/97	Dao et al.			Group 2100
	5	6	3	4	0	5	3	05/27/97	Noble et al.			
	5	6	3	4	1	2	7	05/27/97	Cloud et al.			
	5	7	2	1	9	1	2	02/24/98	Stepczyk et al.			
	5	7	2	1	9	1	3	02/24/98	Ackroff et al.			
	5	7	3	4	8	3	7	03/31/98	Flores et al.			
	5	7	4	5	6	8	3	04/28/98	Lee et al.			
	5	7	5	2	0	2	7	05/12/98	Familiar			
	5	7	7	4	6	6	1	06/30/98	Chatterjee et al.			
	5	7	9	4	2	5	0	08/11/98	Cariño, Jr. et al.			
	5	8	2	6	2	3	9	10/20/98	Du et al.			
	5	8	6	0	0	6	6	01/12/99	Rouse			
	5	8	6	4	8	4	3	01/26/99	Cariño, Jr. et al.			
	5	8	7	3	0	8	3	02/16/99	Jones et al.			
	5	9	1	3	2	1	4	06/15/99	Madnick et al.			
	5	9	2	6	6	3	6	07/20/99	Lam et al.			
	5	9	3	0	5	1	2	07/27/99	Boden et al.			
	5	9	3	7	3	8	8	08/10/99	Davis et al.			
	5	9	8	7	4	2	2	11/16/99	Buzsaki			
	6	0	4	4	3	7	8	03/28/00	Gladney			
	6	0	6	5	0	0	9	05/16/00	Leymann et al.			
	6	0	7	3	1	0	9	06/06/00	Flores et al.			
	6	0	7	3	1	1	1	06/06/00	Leymann et al.			
	6	0	1	2	0	6	7	01/04/00	Sarkar			
	6	1	1	5	6	4	6	09/05/00	Fizzman et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
	0	0	1	4	6	1	8	16 Mar 00	PCT			FULL	

<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>								<b>Docket Number</b> STL920000094US1		<b>Application Number</b> 09/894,074		
								<b>Applicant</b> WF Miller et al.				
								<b>Filing Date</b> June 28, 2001				<b>Group Art Unit</b> 2152
	9	8	2	6	7	5		EP			FULL	
	9	9	6	3	4	6	9	9 Dec 99	PCT		FULL	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>												
	U.S. Patent Application Serial No.# 09/894,413, filed on June 28, 2001, entitled, "Method, System, and Program for Using Objects in Data Stores During Execution of a Workflow", invented by JJ Lin; WF Miller; and AT Yaung.											
	U.S. Patent Application Serial No.# 09/894,076, filed on June 28, 2001, entitled, "Method, System, and Program for Executing a Workflow", invented by AT Yaung.											
	Galtzur, Z. et al. "Managing Workflow Using Database Techniques", IBM Technical Disclosure Bulletin, Vol. 39, No. 08, August 1996, pp. 199-201.											
	Yong, Y.M. "Template-Driven Document-Exchange Specification", IBM Technical Disclosure Bulletin, Vol. 39, No. 11, November 1996, pp. 173-178.											
	Duscher, R. et al. "Audit Trail Support For Program Activity Implementations of Workflow Management Systems", 1994, pp. 1-4											
	Dr. Leymann, F. et al. "Context Support For Program Activity Implementations of Workflow Management Systems", 1994, pp. 1-4.											
	Sluiman, H. "A Relational Schema to Support Task and Workflow, Data Collection and Analysis", pp. 1-3.											
	IBM Corp. "Chapter 4. Introducing Enterprise Information Portal Workflow" from <u>Managing Enterprise Information Portal</u> , IBM Enterprise Information Portal for Multiplatforms, Version 7.1, #SC27-0875-00, Product Numbers 5697-G29 and 5697-G31, August 2000, pp. iii-iv and pp. 39-45.											
	IBM, Corp. "Concepts and Architecture" IBM MQSeries Workflow, Version 3.3, #GH12-6285-03, Product Number 5697-FM3, March 2001, pp. iii-58.											
	IBM, Corp. "User's Guide for the Work Management Builder" IBM ImagePlus Workfolder Application Facility for AS/400, Version 4 Release 1, #SC34-4587-00, Program Number 5733-A18, September 1997, pp. iii-119.											
	Su, SYW et al. "An Extensible Knowledge Base Management System for Supporting Rule-based Interoperability among Heterogeneous Systems" 1995, ACM # 0-89791-812-6/95/11, pp. 1-10.											
	Johansson, SE et al. "Expert Workflow, Building Knowledge-Based Workflow Systems with Object Technology", 1997, pp. 45-49.											
	Leymann, F. et al. "Workflow-based Applications" [online], Vol. 36, No. 1 - Application Development, pp. 1-22, plus 9 pages of linked Figures (drawings), and 2 pages of "Cited References and Notes". [Retrieved on 17 May 01]. Retrieved from the Internet at <URL: <a href="http://www.research.ibm.com/journal/sj/361/leymann.html">http://www.research.ibm.com/journal/sj/361/leymann.html</a> >											
	Vossen, G. "The WASA2 Object-Oriented Workflow Management System", 1999, ACM # 1-58113-084-8/99/05, pp. 587-589											
<b>EXAMINER</b>								<b>DATE CONSIDERED</b>				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.												